

Short-term Preemption and ROFR Project Update

March 16, 2022

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Agenda

- Status and Schedule
- Preemption and ROFR User Guide
- Customer Readiness Recap
- Preemption BP v5

Status and Phased Implementation Schedule

- ✓ OATI software changes have been completed.
- ✓ Ready to handle billing of Redirects that have successfully exercised ROFR.
- ✓ 3/01: 3 weeks notice given for the Preemption BP v4.
- ✓ 3/09 and 3/15: Customer training sessions on PCM.

- 3/17 at 11am: Last customer training on PCM.
- 3/22 at 11am: Enable PCM for Monthly firm/non-firm.
- 4/12* at 11am: Enable PCM for Weekly firm/non-firm.
- 5/03: EIM go-live
- 5/17* at 11am: Enable PCM for Daily firm/non-firm.

*Schedule for Weekly and Daily may change if issues arise with the prior phase.

Preemption and ROFR User Guide

- This is an OASIS “how-to” document for common functions related to PCM that is linked from the Preemption v4 BP.
- A “final” version is posted [here](#).
- Since this is not a Business Practice, BPA did not go through a formal comment process.
 - However, BPA is interested in any comments, suggestions, or questions. Please send to: techforum@bpa.gov
- Everything demonstrated in the PCM training sessions is covered in this document.

Customer Readiness Recap

- Subscribe to PCM emails and alarm notifications.
 - Follow instructions in the Preemption and ROFR User Guide.
- Pay attention to the Unconditional Time of your reservations.
- Be prepared to exercise ROFR within 24 hours of OASIS notification (Competing Request Flag being set).
 - If you successfully exercise ROFR, your reservation will be extended on OASIS. You will be billed for this “new” capacity.
- Respond if your capacity has been preempted.
 - Subscribe to RECALL and RELINQUISH alarms for notification.
 - Adjust tags if the underlying reservation has been preempted.
 - Reconcile any Resales if you are the Reseller of a parent reservation that has been preempted.

Questions / Concerns / Feedback

- Is there anything that we have not yet covered?
- Is there anything that you would like to see different in the last training session tomorrow?
- If you have any other questions or feedback after this call, contact: techforum@bpa.gov

Preemption BP v5

- Will apply to Hourly Firm and Non-Firm.
- BPA is routing the draft changes to the BP now.
- Version 5 will likely be posted for customer comment in April.
- Version 5 will not become effective until PCM has successfully been running for Daily Firm and Non-Firm under v4 for at least 3 weeks.
- We will likely be proposing a phased implementation of PCM for Hourly service.
 - Firm Hourly NT Challengers vs Firm Hourly PTP Defenders.
 - Firm Hourly PTP Challengers vs Firm Hourly PTP Defenders.
 - Non-Firm Hourly PTP Challengers vs Non-Firm Hourly PTP Defenders.

Background Reference

Terminology Recap

- Preemption and ROFR: The overall process that carries out Section 13.2 of the tariff in which a higher priority request may challenge lower priority requests and reservations for constrained capacity.
- Right of First Refusal (ROFR): The ability for PTP customers to defend their existing reservation by agreeing to match the terms of a challenging PTP request.
- Defender: Request or reservation holding conditional capacity that is at risk from higher priority requests.
- Challenger: The higher priority request that can challenge.
- Preemption without ROFR: Scenario in which the Defender really has no defense. Their capacity can simply be taken by the Challenger. Most commonly involves an NT Challenger against any PTP Defender.
- Preemption with ROFR: Scenario in which the Defender may choose to exercise ROFR to keep their existing reservation. Only occurs between a PTP Challenger and a PTP Defender holding a reservation.
- PCM: The OATI software that carries out Preemption/ROFR.

PCM Email Notification Examples

PCM has started for a Challenger



[EXTERNAL] Assignment Ref 71143581 is a CHALLENGER in preemption 1034 with state ACTIVE

Retention Policy BPA Capstone - 7 Year Policy (7 years)

Expires 2/9/2029

TIME_STAMP=20220209150149CS

VERSION=2.2

TEMPLATE=preemption

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RETURN_TZ=CS

DATA_ROWS=3

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ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLETION_TIME,TIME_OF_LAST_U
N,1034,ACTIVE,BPAT,CHALLENGER,ACTIVE,CPSE,71143581,,,,,,,,,20220209150148CS,,20220209150149CS
Y,1034,,BPAT,DEFENDER,ACTIVE,CPSE,71143579,Y,1477,20220210150148CS,,,,,,,,,20220209150149CS
Y,1034,,CPSE,DEPENDENT,ACTIVE,APSE,71143580,,,,,71143579,,71143579,,,,,20220209150149CS

- Information can be queried using the template *preemption* with PREEMPTION_REF.
- The email and OASIS template query both return: the Challenger, all Defenders, all Dependents of the Defenders.
- The PREEMPTION_COMPONENT_STATE is the status of each AREF within the PCM process. "ACTIVE" means in progress.

PCM has started for a Defender



[EXTERNAL] Assignment Ref 71143579 is a DEFENDER in preemption 1034 with state ACTIVE

Retention Policy BPA Capstone - 7 Year Policy (7 years)

i Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

```

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RETURN_TZ=CS
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CONTINUATION_FLAG,PREEMPTION_REF,PREEMPTION_PROCESS_STATE,SELLER_CODE,PREEMPTION_ROLE,PREEMPTI
ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLET
N,1034,ACTIVE,BPAT,CHALLENGER,ACTIVE,CPSE,71143581,,,,,,,,,20220209150148CS,,20220209150149CS
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Y,1034,,CPSE,DEPENDENT,ACTIVE,APSE,71143580,,,,,71143579,,71143579,,,,,20220209150149CS

```

- ROFR_FLAG = Y means the Defender has ROFR.
- When the ROFR_FLAG = Y, the ROFR_DEADLINE tells you when ROFR must be submitted to be valid.
- The ROFR_REF is used to look up the ROFR Notification on OASIS. ROFR can be submitted or declined from the ROFR Notification.

PCM has started for a Dependent



[EXTERNAL] Assignment Ref 71143580 is a **DEPENDENT** in preemption 1034 with state **ACTIVE**

tention Policy BPA Capstone - 7 Year Policy (7 years)

Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

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ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLE
V,1034,ACTIVE,BPAT,CHALLENGER,ACTIVE,CPSE,71143581,,,,,,,,,20220209150148CS,,20220209150149CS
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/,1034,,CPSE,DEPENDENT,ACTIVE,APSE,71143580,,,,,71143579,,71143579,,,,,20220209150149CS

```

- A Dependent is either a Resale or a pending Redirect from a Defender.
- The Assignee will be notified via email when the parent capacity is being challenged.
- The email and template results shows the Defender AREF and whether the Defender has ROFR.

Defender has successfully exercised ROFR



[EXTERNAL] Assignment Ref 71143579 is a **DEFENDER** in preemption 1034 with state **MATCHED**

Retention Policy BPA Capstone - 7 Year Policy (7 years)

Expires 2/9/2025

i Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

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RETURN_TZ=CS

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ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLETION_TIME,TIME_OF_LAST
N,1034,ACTIVE,BPAT,CHALLENGER,ACTIVE,CPSE,71143581,,,,,,,,,20220209150148CS,,20220209150149CS
Y,1034,,BPAT,DEFENDER,MATCHED,CPSE,71143579,Y,1478,20220210150148CS,,,,,,,,,20220209150411CS,20220209150411CS
Y,1034,,CPSE,DEPENDENT,COMPLETED,APSE,71143580,,,,,71143579,,71143579,,,20220209150412CS,20220209150412CS

- The Defender has reached a final state of **MATCHED**. This means ROFR was granted.
- The Dependent has also been **COMPLETED** at the same time as the Defender.
- Note that the Challenger is still in progress (**ACTIVE**).
- The overall PCM process is also still in progress: **PREEMPTION_PROCESS_STATE = ACTIVE**.

Challenger has received a Counteroffer



[EXTERNAL] Assignment Ref 71143581 is a **CHALLENGER** in preemption 1034 with state **PARTIAL**

Retention Policy BPA Capstone - 7 Year Policy (7 years)

Expires 2/9/2029

i Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

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ASSIGNMENT_REF,ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLETION_TIM
N,1034,COMPLETED,BPAT,CHALLENGER,PARTIAL,CPSE,71143581,,,,,,,,,20220209150148CS,,20220209150815CS,20220209150815CS
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Y,1034,,CPSE,DEPENDENT,COMPLETED,APSE,71143580,,,,,71143579,,71143579,,,,,20220209150412CS,20220209150412CS

```

- The Challenger has now reached a final state.
- **PREEMPTION_COMPONENT_STATE = PARTIAL** means the Challenger got a counteroffer.
- The overall PCM process is done. **PREEMPTION_PROCESS_STATE = COMPLETED**. All AREFs must be in a final status before the overall process can be completed.

Challenger has received a Full Offer



[EXTERNAL] Assignment Ref 71143585 is a **CHALLENGER** in preemption 1035 with state **FULL**

Retention Policy BPA Capstone - 7 Year Policy (7 years)

Expires 2/9/2029

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RETURN_TZ=CS

DATA_ROWS=3

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ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLETION_TIME,TIME_OF_LAST_
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Y,1035,,CPSE,DEPENDENT,COMPLETED,APSE,71143580,,,,,71143579,,71143579,,,20220209153323CS,20220209153323CS

- This is a different Preemption and ROFR process: PREEMPTION_REF = 1035.
- The Challenger received a full offer (PREEMPTION_COMPONENT_STATE = FULL) and the overall process is done (PREEMPTION_PROCESS_STATE = COMPLETED).

Defender has been Preempted



[EXTERNAL] Assignment Ref 71143579 is a **DEFENDER** in preemption 1035 with state **PREEMPTED**

Retention Policy BPA Capstone - 7 Year Policy (7 years)

Expires 2/9/2029

i Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

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RETURN_TZ=CS
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ROFR_FLAG,ROFR_REF,ROFR_DEADLINE,DEFENDER_REF,RELATED_REF,REASSIGNED_REF,INITIATION_TIME,COMPLETION_TIME,TIME_OF_LAST_I
N,1035,ACTIVE,BPAT,CHALLENGER,FULL,CPSE,71143585,,,,,,,,,20220209153218CS,20220209153218CS,20220209153220CS
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Y,1035,,CPSE,DEPENDENT,COMPLETED,APSE,71143580,,,,,71143579,,71143579,,,20220209153323CS,20220209153323CS

```

- This is the same Preemption and ROFR process (PREEMPTION_REF = 1035).
- The Defender was preempted (PREEMPTION_COMPONENT_STATE = PREEMPTED).
- Note that the Defender did not have ROFR (ROFR_FLAG = N).